



Round 4 EHS-CCP and EHS Expansion Grant Summary
Prepared by Margie Wallen, The Ounce (mwallen@theounce.org)

Component	Round 4
Application Release & Due Dates	Application Release Date: 07/23/2020 Application Due Date: 09/21/2020
Federal Award Info – p.16	<ul style="list-style-type: none"> • Estimated Total Funding: \$103,057,319 • Expected Number of Grant Awards: 60 • Award Ceiling: \$21,096,468 Per Budget Period • Award Floor: \$750,000 Per Budget Period • Avg Projected Award Amt: \$1,500,000 Per Budget Period • Anticipated Project Start Date: 03/04/2021
Project length p.16	• Length of project period: 60 months with five 12-month budget periods p.16
Eligible applicants p.18	<ul style="list-style-type: none"> • Existing HS, EHS, +/-or EHS-CCP grantees • Eligible applicants are any public or private non-profit agencies, including community & faith-based organizations. • Eligible entities include entities operating Early/Head Start; Indian, Migrant & Seasonal Head Start; & other public entities, nonprofit or for-profit private entities, including community-based & faith-based organizations capable of providing child & family services
Application options	<ul style="list-style-type: none"> • Through this FOA, applicants have the choice of applying in one of three ways: 1) EHS-CC Partnerships, 2) Non-Partnership EHS Expansion, or 3) a mix of both EHS-CC Partnerships and Non-Partnership EHS Expansion. p.3 • Applicant entities <i>may submit only one application per service area</i>, regardless of how they choose to apply. ACF will review only one application for a service area from any applicant. p.3 • Applicants may request to operate services through the <i>EHS-CCP model for center-based services and/or family child care</i> services for infants, toddlers, and children up to the age of 4; or through the <i>Non-Partnership EHS Expansion model</i> that consists of direct or delegated center-based, family child care; home-based or locally designed options with services for pregnant women, infants, and toddlers; <i>or a Combination Approach of both models</i>. p.8. • Also see pp.1-2 for descriptions of each model & pp. 8-11 for Program Options. • Although ACF is not setting a minimum number of participant slots for which applicants must apply, ACF recommends applicants not currently operating EHS or EHS-CC Partnerships propose no fewer than 72 slots, which may include multiple child care center and/or family child care home partner sites. ACF recognizes that organizations with existing <i>EHS infrastructure to support the necessary services may propose fewer than 72 slots</i>. p.8
Program Description pp. 3-16	<ul style="list-style-type: none"> • Applicants should identify the model(s) they plan to implement. The EHS-CC Partnership model is operated through child care centers and family child care homes, generally through a contracted partnership. The EHS Expansion model is operated directly by the program or its delegates. <i>Applicants may elect to offer both models in a single program</i>. p.8



Program Approach pp. 5-8 Overview of EHS-CCP Models pp.8-11	<ul style="list-style-type: none"> Regardless of model, all grantees are required to leverage existing local resources and collaborate with community organizations to ensure that a comprehensive array of health, mental health, nutrition, and social services are provided to enrolled pregnant women, infants, toddlers, and their families. P.8
Developing New Family Child Care Providers and Building Networks pp. 9	<ul style="list-style-type: none"> Through EHS-CC Partnerships, new or existing grantees will partner with local child care centers and/or family child care programs and will leverage current investments through the Child Care and Development Fund (CCDF) or other sources to extend the hours of service and increase comprehensive services available for EHS eligible children. All infants and toddlers in an EHS-CC Partnership site will benefit from facilities or family child care homes that meet licensing and EHS facility safety requirements. p.1 Other children in classrooms with EHS-CC Partnership enrolled children may benefit from lower teacher-child ratios and class sizes, higher qualifications of their teachers, ongoing supervision and coaching, an established curriculum, and parent engagement activities. Applicants are encouraged to propose innovative approaches that increase the supply of high quality early care and education in their community. Especially in communities with a shortage of child care providers, such approaches might include training new family child care providers and establishing family child care networks. Family child care networks can create increased administrative efficiency and access to resources and decrease isolation and increase professional development opportunities & support among & between FCC providers. pp. 2, 9
CCDF Overview	<ul style="list-style-type: none"> See p. 4
Head Start Prog. Performance Standards	<ul style="list-style-type: none"> See p. 5 See p. 6 for summary of EHS services to be provided to children & families through the grant
Facilities	<ul style="list-style-type: none"> Funded grantees proposing facility purchase, construction, or renovation will be required to meet the requirements in 45 CFR Part 1303 Subpart E – Facilities. This subpart applies to facility purchase, construction, and major renovations. It only applies to minor renovations and repairs when they are included with a purchase application and are part of purchase costs. See p. 5
General Info for All Applicants (Highlights)	<ul style="list-style-type: none"> Only high-quality applications will be funded. p.2 Regardless of the model the applicant selects, only high-quality applications will be funded. All applicants should prioritize providing services to the most vulnerable families with the greatest need due to special challenges, such as extreme poverty, homelessness, involvement with the child welfare system, or substance misuse issues. p.2 Note: New and existing grantees should submit applications that are based on the number of children they project can be served in a post-COVID-19 environment without the physical distancing restrictions currently in place due to COVID-19. p.2 Grants will be awarded based on how effectively the model design fits the needs of the community to be served & the overall quality of the proposed prog. p.2 Funds must not supplant existing subsidies or other funding. p.2 <i>ACF reserves the right to prioritize funding for qualified applicants who propose services in census tracts designated as Qualified Opportunity Zones. For more information about federally designated Qualified Opportunity Zones, visit:</i>



	https://www.irs.gov/newsroom/opportunity-zones-frequently-asked-questions & https://www.cdfifund.gov/Pages/Opportunity-Zones.aspx p. 3 & 61
Start-Up and Implementation Period for All Grantees (regardless of model) p.11	<ul style="list-style-type: none"> • Newly awarded grantees, regardless of model or option(s), should anticipate a reasonable start-up period and will be expected to begin providing services as soon as high-quality services can reasonably be provided (i.e., when high-quality facilities are ready and staff have been trained). P.11 • Grantees are expected to be fully enrolled no later than 12 months after receiving a grant award. • See p 12 for start-up planning & implementation expectations • Planning for EHS-CC Partnerships must incorporate the strengths and resources the child care and family child care partners bring to the partnership and the contributions of other community organizations. P.12 • Planning for program implementation should begin with this application and continue at the time of funding. • Start-up planning and implementation activity check list on p.12 • All EHS Expansion or EHS-CCP grantee applicants are strongly encouraged to use a start-up planner and/or a fiscal consultant to help ensure plans, policies, and procedures are in place to address all regulations. • Applicants are encouraged to request start-up funds; however, the approval of start-up funding as requested is not guaranteed.
Start-Up and Implementation Period for EHS-CC Partnership Grantees	<ul style="list-style-type: none"> • Areas of focus for EHS-CC Partnership grantees during the start-up/ implementation period: See p.13-14
COVID-19 Response p.16	If, during the project period, OHS and/or grantees are required to adjust program and/or grant requirements due to the coronavirus and COVID-19 or other emergency, OHS will exercise maximum flexibilities as needed. Requirements may be conducted virtually or timelines modified in consultation with OHS
Start-Up/Pre-Award Costs	<ul style="list-style-type: none"> • Applicants are encouraged to request start-up funds; however, approval of start-up funding as requested is not guaranteed. p. 13
	<ul style="list-style-type: none"> • Applicants must provide a separate budget for start-up/pre-award costs, in addition to a 12-month budget for the base funding awarded, within the page limitations stated for <i>The Project Budget and Budget Justification in Section IV.2.</i> p.17 • If applicants are requesting start-up/pre-award costs, these costs must be identified as a separate budget in the Budget and Budget Justification section of the application. • If awarded, start-up/pre-award costs would apply to costs incurred up to 90 days prior to the award start date. Examples of start-up activities are facility renovations, purchase of classroom supplies, building purchase, licensing, background checks, etc. p.17 • Approval of start-up/pre-award costs is not guaranteed; they are negotiated at the time of award and are based on reasonableness, necessity, and the availability of funds. p.17 • The incurrence of start-up/pre-award costs in anticipation of an award is done at the applicant's own risk and imposes no obligation on ACF either to make an award or to increase the amount of the approved budget if an award is made for less than the amount anticipated and is inadequate to cover the start-up/pre-award costs incurred. It is possible that ACF may honor start-up/pre-award costs by reducing the base amount of the award to include these costs. p.17



Additional Info on Awards– 12 month budget	<ul style="list-style-type: none"> • Applicants must submit an annual (12-month) budget. p.16 • The applicant’s 12-month budget should include both base and training and technical assistance (T/TA) funding. Base funding is for use in program operations, staff, materials, equipment, facilities, etc. In addition to base funding, applicants should request an additional 2.5 percent of the base funding for T/TA funding. p.17 • The successful applicant(s) under this FOA <i>may</i> receive grant awards with a project period of up to 5 years (60 months) that may include 4-5 budget periods. For example, grantees may receive a 54-month project period with an initial 18-month budget period and three subsequent 12-month budget periods p.18
Eligible Program Participants (all grantees)	<ul style="list-style-type: none"> • EHS programs enroll pregnant women and infants and toddlers from birth to age 3. p.14 • EHS-CC Partnership grantees may serve children in family child care settings from birth to 48 months. Families must have incomes below the poverty line, be eligible for public assistance, be homeless, or be in foster care to be eligible for services, as defined in 45 CFR § 1305.2. p.14 • Permits up to 10% of enrolled participants to be from families that do not meet the low-income criteria. p.14 • Programs must ensure that at least 10% of the total number of children enrolled by the Head Start or EHS agency and delegates are children with disabilities unless a waiver is granted. p.14 • Applicants may elect to propose program models that promote socioeconomic diversity within classrooms. Federal Head Start funds must be used to serve eligible children as described in this section. Additional children who are not income-eligible for EHS can be served as long as their participation is supported through other funding sources such as child care subsidies, public school pre-K allocations, parent-paid tuition, or other sources. p.15
Cost Sharing or Matching p.19	<ul style="list-style-type: none"> • Grantees are required to meet a non-federal share of the project cost. Grantees must provide at least 20% of the total approved cost of the project. The total approved cost of the project is the sum of the ACF (federal) share and the non-federal share. The non-federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. p.19 • To receive a waiver or a reduction in the required non-federal share, the applicant must provide ACF with written documentation of need. This request must identify which of the five waiver criteria found at Section 640(b)(1-5) of the Head Start Act it believes to be relevant. Waiver requests will not impact the award of points in the evaluation of the application. p.19-20
<p>Content & Form of Application Submission p.22</p> <p>Accepted Application Format</p> <p>Application page length</p>	<ul style="list-style-type: none"> • Applications must be in Times New Roman (TNR), 12-point font, except for footnotes, which may be TNR 10-point font. Pages that contain blurred text, or text that is too small to read comfortably, will be removed. p.23 • All applications must be double-spaced. An application that exceeds the cited page limitation for double-spaced pages in the Project Description file or the Appendices file will have the last extra pages removed and the removed pages will not be reviewed. p.23 • <i>The page limitation is 150 pages for the Project Summary/Abstract, Table of Contents, Project Description (First File), and Appendices. p.24</i> • Applicants must submit one complete copy of the application package electronically. Applicants submitting electronic applications need not provide additional copies of their application package. p.24 • First File & Second File contents & suggested page lengths covered on p.25



	<ul style="list-style-type: none"> • Electronic sub. Instructions pp.26-28
Project Description Overview	<ul style="list-style-type: none"> • See pp. 31-35 • The Project Description provides the majority of information by which an application is evaluated and ranked in competition with other applications for financial assistance. It must address all activities for which federal funds are being requested and all application requirements as stated in this section. P.32 • The Project Description must explain how the project will meet the purpose of the FOA, as described in <i>Section I. Program Description</i>. Reviewers will evaluate this section in accordance with <i>Section V.I. Criteria</i>. P.32 • The Project Description must be clear, concise, and complete. ACF is particularly interested in Project Descriptions that convey strategies for achieving intended performance. Project Descriptions are evaluated on the basis of substance and measurable outcomes, not length. P.32
Project Description – Approach GENERAL	N/A -Section eliminated in Round 4 FOA
Project Description pp.31-46 Approach 1. Community Need and Objectives	<ul style="list-style-type: none"> • Overview – See pp 31-32 • Approach - See pp.34-36 • If proposing EHS-CC Partnership services, discuss if partners have been identified that demonstrate a commitment to the proposed project. Applicants must also demonstrate their knowledge and awareness of its state's subsidy and CCDF policy landscape in its proposed service area. P.34 • If proposing delegate agencies, describe how those delegate agencies were chosen. The applicant must describe how the organization's oversight will ensure the delegate agencies implement high-quality programs that adhere to the requirements outlined in 45 CFR 1303 Subpart D. The applicant must also identify the communities in which the delegate agency will operate, and the number of children to be served including the proposed program option(s). p.35
Project Description 2. Project Design and Approach p.35-36	<ul style="list-style-type: none"> • Describe applicant's capacity and how the program will implement program components and, where applicable, describe separately for infants and toddlers. p.35 • Name the curriculum or set of curricula that will be used, describe why that curriculum/curricula was selected, and present the evidence basis that the curriculum/curricula effectively promotes progress toward its developmental foundations. p.35 • Provide evidence supporting how the curriculum is tied to outcomes for children p.35 • Describe a process for establishing and measuring school readiness goals p.35 • Describe how service effectiveness and quality will be measured, monitored, and improved upon over time. Specify the name and process for selection of the assessment tool. p.35 • Describe the plan to use child assessment data for individualization and referral, and for aggregation and analysis, AND how the data will be used to determine grantees' progress toward meeting its goals. p.35 • Include information about how you will meet the health, oral health, mental health, and nutrition needs for pregnant women, infants, and toddlers, including system for health screening, etc. p.35 • Provide a plan describing how you will integrate parent and family engagement strategies into all systems and program services to promote children's learning and development. p.35



	<ul style="list-style-type: none"> • Describe how the proposed program will meet the unique needs of special populations, including children with disabilities, dual language learners, children and pregnant women experiencing homelessness, working families, and children in foster care. Applicants must explain their procedures to identify such participants, plans to provide trained personnel, and plans to provide services to assist children in making meaningful progress in attaining age-appropriate knowledge, skills, abilities, and development. p.35 • Provide a plan to develop a unified birth-to-school-entry continuum of care and education through linkages with home visiting programs, Head Start, and other preschool programs. P.35 • Describe how you will deliver services to enrolled women post-pregnancy, and how the newborn infant and family will transition into the appropriate program option. P.36 • Describe how the program will support transitions within the EHS program. p.36 • Describe how you will ensure that infants, toddlers, and their families participating in the program will have the opportunity to receive Head Start or other appropriate preschool services for which they are eligible through the age of mandatory school attendance. P.36 • Describe your plan to coordinate with public and private entities that are willing to commit resources to assist the program in providing high-quality child health and developmental services. Such partnerships must include a plan to coordinate with other child care and preschool programs, state pre-kindergarten programs, programs under Part C and Section 619 of the Individuals with Disabilities Education Act, and with the educational programs that children will enter upon leaving EHS. • If proposing EHS-CC Partnership, applicants must demonstrate that partner sites have been identified and describe their plan for sustaining these partners, as well as contingency plans for replacing proposed partners if any partnerships do not materialize or prove unsustainable p.36
<p>Project Description 3.Staffing</p>	<p>See pp.36-37</p> <ul style="list-style-type: none"> • Describe the qualifications of proposed staff, including their experience in providing child development services. This includes a description of key program staff's major functions and responsibilities. p.36 • Describe the management staff's knowledge of the proposed program and how it will ensure that all program staff members understand how those standards are applicable to them. Describe the plan to include staff with infant/toddler expertise in decision-making roles. • Describe how you will attract and retain qualified staff, including through salary and benefits, with the ability to implement a research-based curriculum; effective instructional strategies; and a high-quality, comprehensive program. p.36 • Describe the strategies and mechanisms to support staff, and a plan to assess staff performance including under-performing staff. P.36 • Describe how you will provide career development opportunities for professional, paraprofessional, and other staff (45 CFR § 1302.91). p.34 • The narrative must also address: (1) how the applicant will facilitate strong staff-child relationships (2) a plan to ensure that staff are prepared for and supported and (3) how all staff will successfully partner with families



	<ul style="list-style-type: none"> • If proposing center-based or family child care services, describe the plan for observing teacher practice and interactions. If proposing home-based services, describe the plan for observing home visitor practice, including interactions with the family and child, and supporting the parents in their role as the child's primary caregiver/teacher. Include the name of any instruments to be used. p.36
Project Description 4. Planning and Implementation	<ul style="list-style-type: none"> • An implementation plan and timeline, including transition period planning, must be included that addresses the following, at a minimum: p.37 • State and local licensing requirements for each proposed age group, the length of time required to obtain licensure for early childhood learning environments, &the impact on the proposed implement. plan. • The availability of appropriate facilities for the proposed program model(s) and the anticipated timelines for completing any construction, renovation, or adaptation of space to meet requirements. • As applicable, plans for alternative service provision (e.g., temporary home based services) during start-up, until contracts are in place and facilities are fully operational. <i>Full enrollment is expected no later than 12 months from the date of award.</i> • Where facilities are not available, a plan to acquire facilities in accordance with 45 CFR § 1303.42. • The ability to recruit, hire, and train qualified staff to support the proposed start of EHS and/or EHS-CC Partnership programming. • Plan for recruiting eligible children and families and maintaining enrollment. If proposing EHS-CC Partnership, this plan must include how the applicant will identify and enroll families currently receiving CCDF subsidies.
5. Organizational Infrastructure & Management Systems pp.37-40	<ul style="list-style-type: none"> • If proposing EHS-CC Partnership, applicants must describe their ability to work with partners, and ensure accountability for meeting HSPPS across multiple sites (as appropriate). P.38 • Organizational Capacity p.38 • Plan for Oversight of Federal Funds p.38 • Protection of Sensitive/Confidential Info – p.39 • Third-Party Agreements – p.39 • Letters of Support – p.39 • Project Budget & Budget Justification p.40
6. Budget & Budget Justification pp.40-46	<ul style="list-style-type: none"> • Applicants must demonstrate that funds are budgeted to provide all required comprehensive EHS services. • Evidence of a reasonable per-child cost based on the proposed program type and program option(s) must be included to ensure the maximum number of eligible children are served. • The application must clearly and accurately distinguish between the base operating funds, T/TA funds, total federal funds, and the applicant's non-federal share. • Applications should provide a cost-effective budget that is aligned with the program services, staffing plan, and other program components proposed in the application narrative. The applicant must describe the plan for the expenditure of T/TA funds. • For applicants proposing EHS-CC Partnership, applicant must include sufficient T/TA resources to assist the child care & family child care partners with meeting HSPPS. p.40 • For applicants proposing EHS-CC Partnership, applicants must demonstrate that a significant portion of funding will be directed to its child care partners to ensure high-quality early learning experiences. P.41



	<ul style="list-style-type: none"> • Applicants must also discuss how funding will be directed to child care partners to support staff professional development, including credentialing and other opportunities designed to support increased competencies, credentials, wages, and compensation. P.41 • Additionally, specific details about the applicant's plan to use a layered funding approach that includes the child care subsidy must be described. Applicant must discuss how it will not supplant existing funding including subsidies for their proposed project. P.41 • Finally, applicants must describe the plan to ensure that children whose families lose child care subsidy eligibility will continue to be served. p.41 • The application must detail the plan to contribute the required non-federal share/match of the total project cost. Each source of non-federal share match must be clearly identified, including the estimated amount per source and the valuation methodology. The proposed sources of non-federal share/match must only be derived from allowable sources. P.41 <p>START-UP/PRE-AWARD COSTS</p> <ul style="list-style-type: none"> • Start-up/pre-award costs may include: 1) ensuring that proposed facilities comply with state and local requirements and are adequately equipped, 2) purchasing classroom equipment and supplies, and/or 3) conducting staff background checks. p.41 • Applicants requesting start-up funding must submit a separate budget for start-up/pre-award costs as described in Section II. Federal Award Information. p.41 • No more than 15% of total costs may be used for program administration. <p>Facilities pp.41-42</p> <ul style="list-style-type: none"> • Applicants must delineate any properties that will be used or proposed for use during the project period and for which funds will be charged to the grant made under this FOA as a direct or indirect cost. • Properties must be organized into the following three categories: owned, leased (current lease already in place), or intent to lease (through either a letter of intent or an actual draft/unsigned lease). If a lease(s) has not been executed prior to submission of an application, the applicant must submit an actual draft of the unsigned lease or a letter of intent describing the potential arrangement including address, terms, length, and proposed expenses. • The applicant must identify if the arrangement is an “arm’s-length” or “less-than-arms-length” lease and provide how costs are calculated in accordance with 45 CFR § 75.465 (Rental costs of real property and equipment) and 45 CFR § 75.436 (Depreciation). • In the list of properties, include a detailed breakdown of all proposed costs. It must be clear which costs are related to which property. In the budget justification, include a corresponding detailed narrative explanation of the purpose and need for each proposed cost under this grant award to determine reasonableness, allocability, and allowability of costs. See pp. 42 for requirements for each property’s breakdown of proposed costs and narrative • For ACF property related policy and guidance, please refer to the ACF website at https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property. • Use guidelines for preparing the project budget and budget justification (budget narrative & line-item detail) pp. 42-46
Application Review Information	<ol style="list-style-type: none"> 1. Community Need and Objectives—Maximum Points:20 (p.55) 2. Project Design and Approach—Maximum Points:30 (p.56)



	<p>3. Staffing—Maximum Points: 15 (p. 57)</p> <p>4. Planning and Implementation—Maximum Points: 10 (p.58)</p> <p>5. Organizational Infrastructure and Management Systems—Maximum Points:15 (p.58)</p> <p>6. Budget and Budget Justification—Maximum Points:15 (p.59)</p> <p>MAXIMUM TOTAL = 105 points NOTE: Specific review criteria for EHS-CCP in each section pp. 55-60</p>
Additional Review and Funding Considerations	<p>See pp 61-62</p> <ul style="list-style-type: none"> • ACF’s priority is expanding access to high-quality programs. • Grants will be awarded based on how effectively the model design fits the needs of the community to be served. p.61 • ACF will prioritize proposals that include a viable plan to reach full enrollment within 12 months of receiving grant award. P.61 • Through this FOA, funds are allocated by each geographical region of the country as defined by ACF based on each region's relative share of young children whose families' incomes are below the poverty line. P.61 • ACF retains administrative flexibility to reallocate between regions as appropriate when making awards using the regional allocation to ensure each grantee meets a high standard of quality and to align with funding priorities. P.61 • ACF reserves the right to prioritize qualified applicants proposing to serve children in census tracts designated as Qualified Opportunity Zones (See https://www.cdfifund.gov/Pages/Opportunity-Zones.aspx). • If the applicant is a current Head Start, EHS, or EHS-CC Partnership grantee, ACF will retrieve, review, and consider the grantee's enrollment data for the 3 years preceding the posting of this FOA. Ongoing under-enrollment will be considered in making final funding determinations. P.61
REGIONAL ALLOCATIONS p. 70-72	<p>Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont) - \$2,508,495</p> <p>Region II (New Jersey, New York, Puerto Rico, U.S. Virgin Islands) - \$9,427,359</p> <p>Region III (Delaware, Maryland, Pennsylvania, Virginia, West Virginia, District of Columbia) - \$7,375,969</p> <p>Region IV (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee) - \$21,096,468</p> <p>Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin) - \$15,588,537</p> <p>Region VI (Arkansas, Louisiana, New Mexico, Oklahoma, Texas) - \$16,489,542</p> <p>Region VII (Iowa, Kansas, Missouri, Nebraska) - \$3,648,823</p> <p>Region VIII (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming) - \$2,388,455</p> <p>Region IX (Arizona, California, Hawaii, Nevada, American Samoa, Guam, Republic of Palau, Commonwealth of the Northern Mariana Islands) - \$13,458,723</p> <p>Region X (Alaska, Idaho, Oregon, Washington) - \$3,007,062</p>

@2020 Ounce of Prevention Fund